



Matthews Asia

hk.matthewsasia.com

Matthews Asia Funds Institutional Investor Account Opening Form 銘基亞洲基金機構投資者開戶表格

Please complete using
block capitals,
sign and return to:

Matthews Asia Funds
Transfer Agency

**Brown Brothers
Harriman (Hong Kong)
Limited**

80 Route d'Esch,
L-1470 Luxembourg

Tel:
+352 474 066 7133

Fax:
+352 474 066 7134

請以正楷填寫，簽署並交
回至：

Matthews Asia Funds
Transfer Agency

**Brown Brothers
Harriman
(Luxembourg) S.C.A.**

80, Route D'Esch
L-1470 Luxembourg

香港中環德輔道中68號萬
宜大廈13樓

傳真：
+352 474 066 7133

電話：
+352 474 066 7134

PLEASE READ AND CONSIDER THE RELEVANT PROSPECTUS BEFORE COMPLETING THIS ACCOUNT OPENING FORM.
於填寫本開戶表格前，請參閱及注意有關章程。

1. Account Registration Details 賬戶登記詳細資料

登記持有人公司名稱／姓名：

Account Designation:

指定賬戶：

If applicable—may not exceed 18 characters

如適用—不得超過18個字元

Registered Address: 登記地址：

No P.O. Box or C/o addresses.

不接受郵政信箱或轉交地址。

Street Address 街道地址

City 城市

Postal Code 郵政編碼

Country 國家

Contact Name 聯絡人姓名

Telephone Number 電話號碼

Fax Number 傳真號碼

Correspondence Address: 通訊地址：

If different from registered address.

如與登記地址不同。

Mailing Address, PO Box or C/o 郵寄地址，郵政信箱或轉交地址

City 城市

Postal Code 郵政編碼

Country 國家

2. Type of Investor 投資者類別

Tick one (required) 請剔其中一個方格（必須填寫）

- The subscriber is a Direct Investor 用戶是直接投資者
- The subscriber is a Financial Intermediary 用戶是金融中介機構
- The subscriber is a Custodian for a single investor (i.e. fund, trust) 用戶是單一投資者（即基金、信託）之託管人
- The subscriber is a Nominee for multiple investors who are the ultimate beneficial owners of the Shares
用戶是擁有最終股份實益的多名投資者的代理人
- The subscriber is a Nominee for a single investor who is the ultimate beneficial owner of the Shares
用戶是擁有最終股份實益的單一投資者的代理人
- The subscriber is a platform 用戶是一個平台
- The participant is investing for its own account 參與者於自己的帳戶作出投資
- The participant is investing for multiple investors who are the ultimate beneficial owners of the Shares
參與者於帳戶內為最終股份實益的多名投資者作出投資
- We confirm, the subscriber/participant is an appointed distributor. 我們確認，用戶/參與者是一間指定分銷商。

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3. Financial Adviser/Intermediary Details 財務顧問／中介人詳細資料

Please complete if submitting application through a financial adviser or other third party.

如透過財務顧問或其他第三方提交申請，請填寫此部分。

Name of Adviser 顧問姓名

Financial Adviser Number 財務顧問號碼

Name of Company 公司名稱

Mailing Address 郵寄地址

City 城市

Postal Code 郵政編碼

Country 國家

Telephone Number 電話號碼

Fax Number 傳真號碼

Professional Adviser Stamp

專業顧問蓋印

We hereby confirm that we have verified the identity of the investor(s) and related parties (e.g. power of attorney holder) by viewing original identification documents. Copies of these documents will be kept by us for a minimum of seven years after the termination of the relationship. Certified true copies of said identification documents will be provided to the Fund and/or the Administrator upon request.

我們謹此確認我們已透過查閱身份證明文件正本核實投資者及關連人士（如授權書持有人）身份。我們將於關係終止後保留該等文件副本至少7年。有關身份證明文件的經認證真確副本將於要求下提供予本基金及／或行政管理人。

X

Financial Adviser's Signature 財務顧問簽署

Dealing instructions from a Professional Adviser may be acted upon in the case where an original Power Of Attorney (POA) is in place. Please forward a duly signed original POA or discretionary agreement which evidences in writing that POA has been granted to the professional adviser. Please provide identification documents of POA holder(s) as per the Anti-Money Laundering (AML) requirements outlined in section 9 of this document.

如有授權書正本，可根據專業顧問的交易指示行事。請轉交正式簽署的正本授權書或委託協議，以書面證明授權書已授予專業顧問。請根據本文件第9部所列的反洗黑錢規定提供授權書持有人的身份證明文件。

4. Tax Information 稅務資訊

Declaration of Tax Residency 稅務居民聲明

(Note: Declaration of tax residency is requested in the context of the OECD Common Reporting Standard ("CRS"), an initiative to implement automatic exchange of financial account information on a global basis.)

(註：稅務居民聲明是以「經濟合作及發展組織」的共同匯報標準（「CRS」）作為背景而要求，該標準是執行全球自動交匯金融帳戶資訊的措施。)

Please indicate the Entity's place of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

請指出實體的稅務居民地點（若屬於多於一個國家的居民，請指出屬於稅務居民的所有國家，以及相關的稅務識別編號。）

Country of Tax Residency 稅務居民國家

Tax ID Number 稅務識別編號

Entity's FATCA Classification 實體的《海外帳戶稅收合規法案》（「FATCA」）分類

Financial Institutions: 金融機構：

If the Entity is a Financial Institution, please tick one of the below categories, and provide the Entity's GIIN below.

若實體是金融機構，請別選以下其中一個類別，並於以下提供實體的全球中介機構識別號碼（「GIIN」）。

- I. Luxembourg Financial Institution or a Partner Jurisdiction Financial Institution 盧森堡金融機構或合作司法管轄區金融機構
- II. Registered Deemed Compliant Foreign Financial Institution 註冊視同合規的外國金融機構
- III. Participating Foreign Financial Institution 參與外國金融機構

Please provide the Entity's Global Intermediary Identification number (GIIN) 請提供實體的全球中介機構識別號碼（「GIIN」）

If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

若實體是金融機構但未能提供全球中介機構識別號碼，請別選以下其中一項原因：

- I. Partner Jurisdiction Financial Institution and has not yet obtained a GIIN 合作司法管轄區金融機構及尚未獲得GIIN
- II. The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN 實體尚未獲得GIIN，但由另一間擁有GIIN的實體保薦

Please provide the sponsor's name and sponsor's GIIN: 請提供該保薦機構的名稱及該保薦機構的GIIN：

Sponsor's Name 保薦機構的名稱

Sponsor's GIIN 保薦機構的GIIN

- III. Exempt Beneficial Owner 豁免實益擁有人
- IV. Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement) 認證視同合規的外國金融機構（包括根據協議附錄II的認證視同合規的金融機構）
- V. Non-Participating Foreign Financial Institution 非參與外國金融機構
- VI. Excepted Foreign Financial Institution 豁免外國金融機構
- VII. U.S. person but not a Specified U.S. person 美國人但並非特定美國人

Non-Financial Institutions: 非金融機構：

If the Entity is not a Financial Institution, please confirm the Entity's FATCA status below: 若實體並非金融機構，請在以下確認實體的FATCA身份：

- I. The Entity is an Active Non-Financial Foreign Entity 實體是實質營運的非金融外國實體
- II. The Entity is a Passive Non-Financial Foreign Entity (If the Entity is a Passive Non-Financial Foreign Entity, please provide details of any Controlling Persons (whose percentage of ownership is 25% or greater) which are U.S. citizens or resident in the U.S. for tax purposes. The term Controlling Persons is to be interpreted in a manner consistent with the recommendations of the Financial Action Task Force. 實體是被動非金融外國實體（若該實體是被動非金融外國實體，請提供任何控權人士（其擁有權為25%或以上）的詳情），就稅務目的而言屬美國公民或居於美國。「控權人士」一詞須以與金融行動特別工作組（Financial Action Task Forces）建議一致的方式詮釋。
- III. The Entity is an Excepted Non-Financial Foreign Entity 實體是豁免非金融外國實體

Full Name 全名

Date of Birth 出生日期

Full Residence Address 居住地址

Details of Controlling Person's Beneficial Ownership 控權人士的實益擁有權詳情

Tax Reference Number 稅務參考編號

5. Bank Account Details 銀行賬戶詳細資料

The bank account details below shall apply to all electronic wires to and from this account.

以下的銀行賬戶詳細資料必須適用於往來此賬戶的所有電匯。

Name of Account (must be in the name of the shareholder) 賬戶名稱（必須以股東名義）

Account Number 賬戶號碼

Bank 銀行

SWIFT Code SWIFT編碼

Address of Bank 銀行地址

City 城市

Postal Code 郵政編碼

Country 國家

Currency of Bank Account 銀行賬戶貨幣

I am/we are instructing through a clearing house or dealing platform (only applicable if funds eligible as per prospectus):

本人/吾等透過結算所或交易平台發出指示（僅於根據章程基金符合資格時適用）：

- Clearstream Fundsettle
- Euroclear 歐洲結算系統 EMX
- Vestima

Participant Number 參與者號碼

Investors who wish to invest in other currencies should contact the Transfer Agency.

有意以其他貨幣投資的投資者應聯絡過戶代理。

6. Investment Details

Please indicate in which funds you wish to invest: 請表明閣下有意投資的基金：

Fund Name 基金名稱	ISIN Code ISIN編碼	Amount to Invest 投資金額	Currency 貨幣 (EUR, USD, GBP, HKD, JPY) (歐元、美元、英鎊、港幣或日本円)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If you are already invested in Matthews Asia Funds, please provide your client account number: 如閣下已投資於銘基亞洲基金(Matthews Asia Funds), 請提供閣下的客戶賬戶號碼：_____

7. Payment Methods 付款方法

WIRE TRANSFER: Total subscription amount payable to be remitted indicating shareholder's name, account number and deal reference (if known) to the following bank details:

電匯：列明股東姓名、賬戶號碼及交易參考（如知悉）的應付總認購金額將電匯至以下銀行，詳細資料如下：

Currency 貨幣	Correspondent Bank 銀行	In favour of 請註明	For account of 帳戶號碼	Account # 號碼	Reference
EUR 歐元	HSBC Bank PLC, London MIDLGB22 GB49MIDL40051537860431	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
GBP 英鎊	Barclays Bank PLC BARCGB22 GB77BARC20325353623157	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
USD 美元	Citibank NA, New York Swift CITIUS33 9250276	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
HKD 港幣	The Hong Kong and Shanghai Banking Corporation Ltd, Hong Kong HSBCHKHH 002893337001	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
JPY 日本円	BOTKJPJT The Bank of Japan Mitsubishi UFJ Ltd, Tokyo 653 0415103	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number

8. Distribution Options 股息選項

All distributions will be reinvested unless otherwise indicated.

除非另有指明，否則所有股息將用作重新投資。

Reinvest 重新投資

Cash. If no bank details are provided, reinvest option will apply. 現金。倘無提供銀行詳細資料，將採用重新投資選項。

9. General Provisions

AML documentation required 所需AML文件：

✓ In the case of a corporation or other legal entity, as a minimum:

- ✳ A certified true copy of the Certificate of Incorporation or recent extract of public company register and Articles of Association.
- ✳ A current and dated authorised signatory list on company letterhead. An original copy or a certified true copy signed on each page in wet ink.
- ✳ Please contact the HK Representative or Transfer Agent for a full list of AML documents pertaining to your entity type.

✓ In the case of Power of Attorney (POA):

- ✳ Certified true copies of identification documents, including the POA and an authorised signature list of the POA holder(s), must be received and validated by the Transfer Agency (Administrator) before the POA becomes effective.

The Company and the Administrator reserve the right to ask for additional identification documents depending on the nature of the legal entity and jurisdiction.

✓ 如屬公司或其他法定實體，最少：

- ✳ 註冊成立證書經認證真確副本或上市公司股東名冊及組織章程細則最近節錄。

9. General Provisions (continued) 一般條款 (續)

- ✳ 公司信頭的現時及註有日期的獲授權簽署名單。以墨水於正本或經認證真確副本每頁簽署。
- ✳ 請聯絡香港代表或過戶代理，以獲得切合您所屬實體類別的反洗黑錢文件清單。

✓ 如屬授權書：

- ✳ 授權書必須在過戶代理（行政管理人）收到及確認身份證明文件（包括授權書及授權書持有人的獲授權簽署名單）經認證真確副本後，方可生效。
- ✳ 視乎法定實體及司法權區，本公司及行政管理人保留權利要求額外身份證明文件。

Declarations and Signature(s) 聲明及簽署：

As a shareholder, I/we by signing hereunder expressly declare, acknowledge and confirm the following:

- ✳ Subscription orders will only be accepted if all supporting registration documentation have been received and validated by the Transfer Agency before the relevant cut-off time as detailed in the latest copy of the prospectus.
- ✳ I/We have read a copy of the latest prospectus of the fund and am/are fully aware of and understand the financial risk associated with a subscription to the fund and I/we accept that any market fluctuations may lead to a loss of all or part of my/our investment.
- ✳ I/We agree to transfer the correct subscription amount in the correct currency within the prescribed time limit to the correct bank account. I/We understand that in the case of late payment or non-payment of the correct subscription amount the subscription may be cancelled and the fund may take action, non-judicial or otherwise against me/us/our representative in order to recover any losses or costs incurred as a result of late or non-payment.
- ✳ I am/We are not a US Person (see prospectus for definition) and am/are not applying for shares on behalf of any US Person.
- ✳ When making and signing an application as joint-holders, all registered holders must jointly authorize any dealing or other instructions in writing unless a separate signing authority has been confirmed under section 1 of this document. For additional joint-holders a separate notice must be completed and returned to the Transfer Agency.

作為股東，本人／吾等謹此簽署，以明確聲明、知悉及確認以下各項：

- ✳ 認購指示僅於過戶代理於最新章程詳述的有關截止時間前收到及確認所有支持登記文件方獲接納。
- ✳ 本人／吾等已閱讀基金最新的章程，並已完全留意到及了解有關認購基金的財務風險，且本人／吾等接受任何市場波動可能導致損失本人／吾等全部或部分投資。
- ✳ 本人／吾等同意於規定時限內以正確貨幣轉讓正確認購金額至正確銀行賬戶。本人／吾等明白如屬逾時支付或並無支付正確認購金額，認購可能被取消，而基金可能對本人／吾等／吾等代表採取行動（不論非司法或其他），以彌補因逾時或並無付款而產生的任何損失或成本。
- ✳ 本人／吾等並非為美國人士（定義見章程），而本人／吾等並非代表任何美國人士申請股份。
- ✳ 當以聯名持有人作出及簽署申請時，所有登記持有人必須以書面共同授權任何交易或其他指示，除非另一份簽署授權書已根據本文件第1部獲確認。就額外聯名持有人而言，必須填妥另一份通知，並交回過戶代理。

Data Protection 資料保障：

- ✳ The Investor is hereby informed that Brown Brothers Harriman (Luxembourg) S.C.A., acting as Custodian, Administrative Agent, Domiciliary Agent, Paying Agent, Registrar and Transfer Agent for Matthews Asia Funds, processes data of the Investor.
- ✳ The Investor hereby consents and authorises the BBH Entities (as defined below) to collect, use, process, share, store and, under their own responsibility, transmit data for any of the purposes described below, within the group of Brown Brothers Harriman & Co.(the "Data Sharing Authorisation").
- ✳ The Investor acknowledges and agrees that its data will be shared on a cross-border basis between and among various entities, acting under their own responsibility, within the Brown Brothers Harriman & Co. group (the "BBH Entities"), which are located in various jurisdictions. Information which may be shared and retained among the BBH Entities may include personal data, including name, email, phone number, address, account numbers, data on transactions, trading and settlement and any other information required to perform requested services ("Personal Data").
- ✳ The Investor instructs the collection, use, processing, sharing, holding or transmitting of Personal Data specified above among the BBH Entities for the following purposes: to process, manage and administer the Investor's holding in the Fund and any related accounts on an on-going basis; to comply with legal or regulatory requirements applicable to the Fund or the Investor; for disclosure or transfer to BBH Entities anywhere in the world, including the United States of America, which may not have the same data protection laws as Luxembourg; where necessary, for the purposes of notification to the relevant revenue authorities in accordance with the EU Directive 2003/48/EC and applicable local regulations on taxation of savings income in the form of interest payments; and for any other specific purposes where the Investor has given specific consent to do so.
- ✳ The BBH Entities for the purposes of this Data Sharing Authorisation only may include Brown Brothers Harriman (Luxembourg) S.C.A., Brown Brothers Harriman Fund Administration Services (Ireland) Ltd., Brown Brothers Harriman (Poland) Sp. z o.o., Brown Brothers Harriman & Co., Brown Brothers Harriman (Hong Kong) Ltd., Brown Brothers Harriman Investment Services (Japan) Inc. and Brown Brothers Harriman Trust Company (Cayman) Limited, or any other entity within the BBH group of companies located in other jurisdictions which are subject to regulatory or prudential supervision.

9. General Provisions (continued) 一般條款 (續)

- ✳ In addition, the Investor consents and authorises the BBH Entities to share any Personal Data with the Fund, Matthews Asia Funds, its management company, and its or their delegates and duly appointed agents and any of their respective related, associated or affiliated entities, regulatory bodies with competent jurisdiction over any of the BBH Entities, tax authorities, and with other third parties including the Investor's financial advisors (where appropriate), auditors and technology providers, for purposes specified above. This Data Sharing Authorisation will continue in effect until the Investor informs Brown Brothers Harriman (Luxembourg) S.C.A. in writing of its intention to revoke such consent. The Investor may withdraw this consent at any time in its sole discretion. Moreover, the Investor is hereby informed that the Fund acting as data controller collects, stores and processes the Personal Data for the purpose of fulfilling the services required by the Investor and complying with its legal obligations such as: (i) maintaining the register of Investors; (ii) processing subscriptions, redemptions and conversions of shares and payments of dividends to Investors; (iii) maintaining controls in respect of late trading and market timing practices; (iv) complying with applicable anti-money laundering rules; and (v) marketing.
- ✳ The Investor is hereby informed that the Fund may delegate the processing of the Investor's Personal Data to one or several entities which are located in the European Union, such as Matthews Asia Funds and Matthews International Capital Management LLC, acting as Investment Manager. Matthews International Capital Management LLC, acting as Investment Manager, may however in its capacity as data controller and under its own responsibility, decide—in compliance with its privacy policy which is available at www.matthewasia.com—to further transfer the Personal Data to entities which are located in the European Union, or in countries which may not offer an adequate level of protection, such as Matthews International Capital Management LLC.
- ✳ The Investor consents and authorises Matthews International Capital Management LLC to transfer his Personal Data to the Matthews Asia Funds.
- ✳ The Investor is hereby informed that he has a right to access his Personal Data and may ask for a rectification thereof in cases where such Personal Data is inaccurate or incomplete. The Investor may contact Brown Brothers Harriman (Luxembourg) S.C.A. in writing in this regard.
- ✳ 投資者的資料將會交由銘基亞洲基金的託管人、行政代理人、帳戶代理人、付款代理人及過戶登記代理人Brown Brothers Harriman (Luxembourg) S.C.A.處理，特此通告。
- ✳ 投資者在此同意並授權BBH各公司（定義見下文）收集、使用、處理、共享、存儲，並在其責任內為下述任何目的，在布朗兄弟哈里曼有限公司的集團內傳輸資料（以下簡稱「資料共享授權」）。
- ✳ 投資者確認並同意，其資料將在跨境基礎上，於分別位於不同司法管轄區內的Brown Brothers Harriman & Co. group各公司（以下簡稱「BBH各公司」）之間，並在BBH各公司的責任內分享。可能在BBH各公司之間共享及保留的信息可能包括個人資料，當中包括姓名、電子郵件、電話號碼、地址、賬號、交易、買賣及結算及任何其他在執行所需服務時所需用的資料（個人資料）。
- ✳ 上述個人資料的收集、使用、處理、共享、持有或在BBH各公司之間的傳輸將由投資者指示執行，用於以下目的之一：在持續的基礎上，對投資者在基金和其他相關帳戶之所持有進行處理、管理和行政；符合適用於基金或投資者的法律或法規的要求；披露或轉移到包括美國的世界上任何BBH各公司，而美國與盧森堡可能不具有相同的數據保護法；
- ✳ 在必要時，按照歐盟指令2003/48/EC和就利息支付的形式儲蓄收入徵稅的目的之適用地方性法規，通知有關稅收部門；及投資者已給予特別同意的任何其他特定目的。
- ✳ 為資料共享授權單一目的而定義之BBH各公司，可能包括Brown Brothers Harriman (Luxembourg) S.C.A. S.C.A.，Brown Brothers Harriman Fund Administration Services (Ireland) Ltd.，Brown Brothers Harriman (Poland) Sp. z o.o.，Brown Brothers Harriman & Co.，布朗兄弟哈里曼（香港）有限公司，Brown Brothers Harriman Investment Services (Japan) Inc.，及Brown Brothers Harriman Trust Company (Cayman) Limited，或BBH各公司內，位於其他司法其他管轄區，受監管或審慎監管的任何公司。
- ✳ 此外，投資者同意並授權BBH各公司與基金，即銘基亞洲基金，其管理公司，它的或他們的代表和正式委任的代理人及任何彼等各自聯繫的相關或附屬公司，任何對BBH各公司有管轄權的監管機構及稅務機關，並與包括投資者的財務顧問（如適用），審計師和技術提供商等其他第三方，為上述目的共享任何個人資料。直至投資者以書面向Brown Brothers Harriman (Luxembourg) S.C.A. 撤銷該項同意為止，此資料共享授權將繼續生效。投資者可自行決定隨時撤銷此項同意。此外，特此告知投資者，該基金作為資料控制員，將收集、存儲和處理個人資料，以達到執行投資者所需服務，及符合其法律責任的目的，例如：（一）維護投資者的登記資料；（二）執行對股票的購買、贖回、轉換服務及向投資者派息；（三）在尾盤和市場時機方面維持控制；（四）符合任何適用的反洗黑錢法規；及（五）市場推廣。
- ✳ 特此通告投資者，基金可以委託位於歐盟內的一間或多間公司處理投資者的個人資料，例如銘基亞洲基金，及作為投資經理的銘基國際投資公司。作為投資經理的銘基國際投資公司，可以在其資料控制員的職權，及在其責任內，在符合其發佈於www.matthewasia.com之私隱條款之下，決定進一步將個人資料傳輸予在歐盟內的其他公司，或至其他未必提供足夠保障的國家，例如銘基國際投資公司。
- ✳ 投資者同意並授權銘基國際投資公司將其個人資料轉移到銘基亞洲基金。
投資者有權存儲其個人資料，可以在信息不準確或不完整之情況下，要求更改個人資料。就此方面，投資者可書面聯絡Brown Brothers Harriman (Luxembourg) S.C.A. S.C.A.。特此通告。

Fax & Email Indemnity 傳真及電郵彌償保證：

- ✳ I/We confirm that the Company and the Administrator may receive and act upon my/our faxed instructions, which the Administrator believes has been given in good faith. I/We agree to hold the Administrator harmless and to indemnify the Administrator for any loss or damage, which the Administrator may suffer as a result of acting on such faxed instructions. I/We agree that the Transfer Agency will not accept any transaction instructions or requests to change of any account static data by email.
- ✳ The fund or its Agent shall communicate to me/us at my/our own risk. I/we agree to accept communications conveyed by electronic means such as facsimile or email and acknowledge that these are not secure forms of communication, which may accordingly give rise to higher risks of manipulation or attempted fraud, for which the Manager of any of its delegates shall have no liability.

9. General Provisions (continued) 一般條款 (續)

- ✳ I/We consent to details relating to my/our application and holdings being accessed by or disclosed to the Company's Promoter/Investment Manager, Matthews International Capital Management, LLC, and those Matthews International Capital Management, LLC companies to which it delegates marketing and investor servicing duties.
- ✳ 本人/吾等確認 貴公司及行政管理人可收到本人/吾等的傳真指示，並根據其行事，而行政管理人相信有關指示為真誠發出。本人/吾等同意避免行政管理人受損害，並對行政管理人因對有關傳真指示行事而蒙受的任何損失或損害作出彌償。本人/吾等同意過戶代理並不會接受經電郵發出的任何交易指示或更改任何賬戶狀況資料的要求。
- ✳ 基金或其代理與本人/吾等通訊時，風險概由本人/吾等承擔。本人/吾等同意接受以電子方式（如傳真或電郵）傳送的通訊，並知悉該等並非安全的通訊方式，可能會引致較高的操縱或意圖詐騙的風險，而其任何委託人的經理均不會承擔任何責任。
- ✳ 本人/吾等同意有關本人/吾等申請及持有量的資料可由 貴公司發起人/投資經理、Matthews International Capital Management, LLC及該等Matthews International Capital Management, LLC委託其負責市場營銷及投資者服務的公司取得或披露。

Fax Instructions 傳真指示：

We will accept instructions sent via fax, unless you mark the following box. If you mark the box then we will only accept instructions via original letter/form.

I/We confirm that the Company and the Administrator is NOT to act upon my/our faxed instructions.

吾等將接受透過傳真發出的指示，除非閣下於以下方格加上標記。如閣下於方格加上標記，吾等將僅接受透過函件/表格正本作出的指示。

本人/吾等確認 貴公司及行政管理人不會按照本人/吾等的傳真指示行事。

Beneficial Owner Declaration 實益擁有人聲明：

Please tick as appropriate:

I am/We are investing on my/our own behalf and I am/we are the ultimate economic beneficiary(ies) of the funds and any subsequent income invested.

I am/We are investing on behalf of a third party who is the ultimate economic beneficiary of the funds and any subsequent income invested. If investing on behalf of a third party, the third party has to be fully identified.

請在適當空格加上別號：

本人/吾等代表本人/吾等本身投資，而本人/吾等為基金及任何其後所投資收入的最終經濟受益人。

本人/吾等代表為基金及其後所投資收入的最終經濟受益人的第三方投資。如代表第三方投資，第三方的身份須獲全面鑒別。

Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

本人/吾等聲明（作為實體的授權簽署人）就本人/吾等所深知及確信，於本表格提供的資料乃準確而全面。

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

如情況有變，導致本表格所載的任何資料變得不正確，本人/吾等承諾即時通知接收人，並提供更新的自我核證。

X
Authorised Signature 1 簽署1

Full Name (in block capitals) 全名 (以正楷填寫)

Job Title 職位

X
Authorised Signature 2 簽署2

Full Name (in block capitals) 全名 (以正楷填寫)

Job Title 職位

X
Authorised Signature 3 簽署3

Full Name (in block capitals) 全名 (以正楷填寫)

Job Title 職位

X
Authorised Signature 4 簽署4

Full Name (in block capitals) 全名 (以正楷填寫)

Job Title 職位

Date 日期

Place 地點